Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT	OF C	HANGES	S IN	BENEF	CIAL

OMB APPROVAL										
OMB Number: 3235-028										
Estimated average burden										
hours per response:										

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Green Scott A.  (Circle (Circle) (Atiddle)					2. Issuer Name and Ticker or Trading Symbol ORION ENERGY SYSTEMS, INC. [ OESX ]  5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director Tothic Other (specify below)  5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director Tothic Other (specify below)										wner				
(Last) (First) (Middle) 2210 WOODLAND DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 06/04/2021									hief Oper				
(Street)  MANITO	OWOC W	I 5	64220		4. If A	Amend	ment,	Date o	f Origina	al Filed	d (Month/Da	ıy/Year	)	6. Inc Line)	Form Form	Joint/Grou filed by On filed by Mo	e Rep	orting Pers	on
(City)	(S	tate) (Z	Zip)												Perso	on			
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	ficiall	y Own	ed			
Date			2. Transac Date (Month/Da	Execution Date,		3. Transaction Code (Instr. 8)  4. Securities Act Disposed Of (D) 55					5. Amo Securit Benefic Owned Report	ies cially Following	Forn (D) c	wnership n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
									Code	v	Amount	(A) (D)	or F	Price		ction(s)			(111341.4)
Common Stock 06/04/				06/04/	2021			A <sup>(1)</sup>		31,128	,128 A		\$ <mark>0</mark>	0 427,712			D		
		Tal									osed of, onvertib				Owne	t			
1. Title of Derivative Security (Instr. 3)			4. Transa Code ( 8)	(Instr.	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expirati (Month/	6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Exercisable Date		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)  Amoun or Numbe of Title Shares		Ser (In	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

1. Restricted stock granted to the reporting person under the Orion Energy Systems, Inc. 2016 Omnibus Incentive Plan, as amended. The restricted stock vests and becomes exercisable with respect to 1/3 of the restricted stock on each of June 4, 2022, 2023 and 2024, respectively.

/s/ Steven R. Barth, Attorneyin-Fact for Scott A. Green

06/07/2021

**OWNERSHIP** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.