SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

OMB APPROVAL									
OMB Number: 3235-0287									
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					0	r Secti	ion 30(l	n) of th	e Investm	ent C	ompany Ao	ct of 1940								
1. Name and Address of Reporting Person [*] Scribante John H									icker or Ti GY SY		i Symbol EMS, II	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner								
															Officer below)	(give title	Х	Other (below)	specify	
(Last) (First) (Middle) 2210 WOODLAND DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 07/25/2017 Retired														
(Street)	- 4.	If Ame	endmer	nt, Date	e of Origin	al File	ed (Month/I	6. Individual or Joint/Group Filing (Check Applicable Line)												
MANITOWOC WI 54220														X Form filed by One Reporting Person						
														Form filed by More than One Reporting Person						
(City)	(S											1 01301								
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3) Date (Month/Day					Execution Date, ay/Year) if any		Code	Transaction Disposed		ities Acquir d Of (D) (In:	red (A) o str. 3, 4 a	and 5) Securit Benefic Owned		es ally =ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
									Code	v	Amount	Mount (A) or (D)		e	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common	Stock			07/2	5/2017	/2017					5,00	5,000 D		081 ⁽¹⁾	96	6,000			By TMS Trust ⁽²⁾	
Common Stock 07/26/2					6/2017	2017		S		13,37	6 D	\$1.	021 ⁽³⁾	82	,624			By TMS Trust ⁽²⁾		
Common	Stock													40	,000		Ι	By IRA		
		-	Table II ·												wned					
L						call	-		· •		conver	1		<u> </u>						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Executior if any (Month/Da	n Date,	4. Transa Code (8)		tion of E		6. Date E Expiratio (Month/D	n Dat	e of Securities (underlying Derivative Secur (Instr. 3 and 4)		ties Ig e Securi	ity Derivative Security (Instr. 5)		9. Numbe derivative Securities Beneficial Owned Following Reported Transactie (Instr. 4)	e Ownersh 5 Form: Ily Direct (D or Indirec 1 (I) (Instr.		Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amou or Numb of Sha	er							
Stock Options (right to buy)	\$5.35								(4)		08/08/2018	Common Stock	21,4	52		21,452		D		
Stock Options (right to buy)	\$3.78								(5)		05/19/2019	Common Stock	11,7	59		11,759		D		
Stock Options (right to buy)	\$3.01								(6)		09/01/2019	Common Stock	200,0	000		200,00	00	D		
Stock Options (right to buy)	\$3.46								(7)		05/18/2020	Common Stock	16,7	31		16,73	1	D		
Stock Options (right to buy)	\$2.03								(8)		06/12/2022	Common Stock	80,0	00		80,00	0	D		
Stock Options (right to buy)	\$1.62								(9)	T	11/12/2022	Common Stock	100,0	000		100,00	00	D		

Explanation of Responses:

1. The price in Column 4 is a weighted average price. The prices actually received ranged from \$1.08 to \$1.09. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price. 2. By the John H. Scribante and Tina M. Scribante TR UA 06/05/01 TMS Trust.

3. The price in Column 4 is a weighted average price. The prices actually received ranged from \$1.00 to \$1.065. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price. 4. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted August 8, 2008, became exercisable in 20% increments on August 8, 2009, 2010, 2011,

2012 and 2013, respectively. 5. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 19, 2009, became exercisable in 20% increments on May 19, 2010, 2011, 2012, 2013 and 2014, respectively.

6. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted September 1, 2009, became exercisable in 50,000 share increments when the average

trading price of the Issuer's common stock equals or exceeds \$4.00, \$5.00, \$6.00, \$7.00 and \$8.00 per share.

7. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 18, 2010, became exercisable in 20% increments on May 18, 2011, 2012, 2013, 2014 and 2015, respectively.

8. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted June 12, 2012, became exercisable in four equal installments on June 12, 2013, 2014, 2015 and 2016, respectively.

9. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted November 12, 2012, became exercisable in three equal installments on November 12, 2013, 2014 and 2015, respectively.

<u>/s/ Steven R. Barth, Attorney-</u>

in-Fact for John H. Scribante

** Signature of Reporting Person

07/27/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.