### FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person\*

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: Estimated average burden hours per response: 0.5

5. Relationship of Reporting Person(s) to Issuer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

| Scribante John H  |   |  |                            |            |   | ORION ENERGY SYSTEMS, INC. [ DESX ]                         |        |   |   |   |                    |                 |                                    | X   | Directo   |                                       |                                     | 10% Ov   | ner  |
|---|---|--|----------------------------|------------|---|---|--------|---|---|---|--------------------|-----------------|------------------------------------|---|---|---------------------------------------|-------------------------------------|--|--|
| (Last) (First) (Middle) 2210 WOODLAND DRIVE   |   |  |                            |            |   | 3. Date of Earliest Transaction (Month/Day/Year) 07/07/2017 |        |   |   |   |                    |                 |                                    |   | Officer (give title X Other (specify below)  Retired  |                                       |                                     |  |  |
| (Street)  MANITOWOC WI 54220  (City) (State) (Zip)                                    |   |  |                            |            | -                                       | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |        |   |   |   |                    |                 |                                    |   | ridual or Joint/Group Filing (Check Applicable<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |                                       |                                     |  | ı  |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transactic Date (Month/Day/ |   |  |                            | ction      | 2A.<br>Exe                              | 2A. Deemed<br>Execution Date,                               |        | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 a |                    |                 |                                    | 5. Amou<br>Securitie<br>Benefici<br>Owned F | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following   |                                       | ı: Direct<br>r Indirect<br>ıstr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |  |
|   |   |  |                            |            |   |   |        |   | Code  | v   | Amount             | (A) or<br>(D)   | Price                              |   | Reported<br>Transact<br>(Instr. 3   | tion(s)                               |                                     |  | (Instr. 4)   |
| Common Stock  |   |  |                            | 07/07/2017 |   |   |        |   | S   |   | 2,204              | D               | \$1.28                             | 323 <sup>(1)</sup>                          | 224,612   |                                       |                                     |  | By TMS<br>Trust <sup>(2)</sup>                                     |
| Common Stock  |   |  |                            | 07/10/2017 |   |   |        |   | S   |   | 11,211             | D               | \$1.2                              | \$1.226 <sup>(3)</sup>                      |   | 213,401                               |                                     |  | By TMS<br>Trust <sup>(2)</sup>                                     |
| Common Stock  |   |  |                            | 07/11/2017 |   |   |        |   | S   |   | 61,733             | D               | \$1.10                             | 531(4)                                      | 151   | .,668                                 |                                     |  | By TMS<br>Trust <sup>(2)</sup>                                     |
| Common Stock  |   |  |                            |            |   |   |        |   |   | <u> </u>  |                    |                 |                                    |   | ,000  |                                       | I                                   | By IRA   |  |
|   |   | ٦  | Table II                   |            |   |   |        |   |   |   | posed o            |                 |                                    |   | wned  |                                       |                                     |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | if any<br>(Month/Day/Year) |            | 4.<br>Transaction<br>Code (Instr.<br>8) |   | n of I |   | 6. Date Exercis<br>Expiration Date<br>(Month/Day/Ye |   | of Securit         |                 | ties Do<br>lg Se<br>e Security (Ir |   | Price of<br>erivative<br>ecurity<br>nstr. 5)  | vative derivative<br>urity Securities |                                     | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |                            |            | Code                                    | v   | (A)    |   | Date<br>Exercisa                                    | ıble  | Expiration<br>Date | Title           | Amour<br>or<br>Numbe<br>of Sha     | r   |   |                                       |                                     |  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$5.35  |  |                            |            |   |   |        |   | (5)   |   | 08/08/2018         | Common<br>Stock | 21,452                             |   |   | 21,452                                |                                     | D  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$3.78  |  |                            |            |   |   |        |   | (6)   |   | 05/19/2019         | Common<br>Stock | 11,75                              | 59  |   | 11,759                                | 9                                   | D  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$3.01  |  |                            |            |   |   |        |   | (7)   |   | 09/01/2019         | Common<br>Stock | 200,0                              | 00  |   | 200,00                                | 0                                   | D  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$3.46  |  |                            |            |   |   |        |   | (8)   |   | 05/18/2020         | Common<br>Stock | 16,73                              | 81  |   | 16,731                                | 1                                   | D  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$2.03  |  |                            |            |   |   |        |   | (9)   |   | 06/12/2022         | Common<br>Stock | 80,00                              | 00  |   | 80,000                                | )                                   | D  |  |
| Stock<br>Options<br>(right to<br>buy)   | \$1.62  |  |                            |            |   |   |        |   | (10)  |   | 11/12/2022         | Common<br>Stock | 100,0                              | 00  |   | 100,00                                | 0                                   | D  |  |

# **Explanation of Responses:**

- 1. The price in Column 4 is a weighted average price. The prices actually received ranged from \$1.28 to \$1.29. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- 2. By the John H. Scribante and Tina M. Scribante TR UA 06/05/01 TMS Trust.
- 3. The price in Column 4 is a weighted average price. The prices actually received ranged from \$1.20 to \$1.28. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.
- 4. The price in Column 4 is a weighted average price. The prices actually received ranged from \$1.15 to \$1.26. The reporting person has provided to the issuer, and will provide to any security holder of the issuer, or the SEC staff, upon request, information regarding the number of shares sold at each price within the range for all transactions reported in this Form 4 utilizing a weighted average price.

- 5. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted August 8, 2008, became exercisable in 20% increments on August 8, 2009, 2010, 2011, 2012 and 2013, respectively.
- 6. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 19, 2009, became exercisable in 20% increments on May 19, 2010, 2011, 2012, 2013 and 2014, respectively.
- 7. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted September 1, 2009, became exercisable in 50,000 share increments when the average trading price of the Issuer's common stock equals or exceeds \$4.00, \$5.00, \$6.00, \$7.00 and \$8.00 per share.
- 8. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 18, 2010, became exercisable in 20% increments on May 18, 2011, 2012, 2013, 2014 and 2015, respectively.
- 9. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted June 12, 2012, became exercisable in four equal installments on June 12, 2013, 2014, 2015 and 2016, respectively.
- 10. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted November 12, 2012, became exercisable in three equal installments on November 12, 2013, 2014 and 2015, respectively.

/s/ Steven R. Barth, Attorneyin-Fact for John H. Scribante

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.