## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number: 3235-0287										
Estimated average burden										
hours per response	e: 0.5									

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Instruc	ction 1(b).			FIIE		t to Section 16( tion 30(h) of the						34				1	
1. Name and Address of Reporting Person <sup>*</sup> Scribante John H						2. Issuer Name and Ticker or Trading Symbol ORION ENERGY SYSTEMS, INC. [ OESX							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
						1								Officer (give title below)		Other (specify below)	
(Last) (First) (Middle) 2210 WOODLAND DRIVE						3. Date of Earliest Transaction (Month/Day/Year) 09/27/2012							,		utive Officer		
(Street)		<b>X</b> - 77	4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)						
MANITOWOC WI 54220 (City) (State) (Zip)														iled by Mor	e Reporting Perso re than One Repo		
		Т	able I - No	n-Deriv	ative So	ecurities Ac	cquired,	Dis	posed	of, o	r Bene	eficiall	y Owned				
1. Title of Security (Instr. 3) 2. Transa Date (Month/D			saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	Transaction Dis Code (Instr. 5)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)			and Securities Beneficially Owned Followir		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
							Code	v	Amour	nt (A) or P		Price	Reported Transact (Instr. 3 a	ion(s)		(Instr. 4)	
Common Stock 09/27				7/2012		A <sup>(1)</sup>		25,0	000	Α	\$ <mark>0</mark>	50,	,000	D			
Common	Stock												15,	.000	I	By TMS Trust <sup>(2)</sup>	
Common Stock													23,	.815	Ι	By Garden Villa on 3rd LLC	
			Table II -			curities Aco Is, warrants							Owned				
Security or Exercise (Month/Day/Year) if any		4. Transactior Code (Instr. 8)	of	Expiration Date of S (Month/Day/Year) Und		7. Title and Amour of Securities Underlying Derivative Security			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia	e Ownershij 5 Form:	11. Nature of Indirect Beneficial Ownershi					

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code ( 8)				of Securit Underlyin Derivative (Instr. 3 ar	g Security	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable			Amount or Number of Shares				
Stock Options (right to buy)	\$2.25							(3)	07/31/2014	Common Stock	25,000		25,000	D	
Stock Options (right to buy)	\$2.5							(4)	06/02/2016	Common Stock	40,000		40,000	D	
Stock Options (right to buy)	\$5.35							(5)	08/08/2018	Common Stock	21,452		21,452	D	
Stock Options (right to buy)	\$3.78							(6)	05/19/2019	Common Stock	11,759		11,759	D	
Stock Options (right to buy)	\$3.01							(7)	09/01/2019	Common Stock	250,000		250,000	D	
Stock Options (right to buy)	\$3.46							(8)	05/18/2020	Common Stock	16,731		16,731	D	
Stock Options (right to buy)	\$2.03							(9)	06/12/2022	Common Stock	100,000		100,000	D	

Explanation of Responses:

1. Restricted stock granted to the reporting person under the 2004 Stock and Incentive Awards Plan. The restricted stock vests and becomes exercisable 1/3 per year on September 27, 2013, 2014 and 2015, respectively.

2. By the John H. Scribante and Tina M. Scribante TR UA 06/05/01 TMS Trust.

3. Grant to reporting person of option to buy shares under the 2003 Stock Option Plan. This option, granted July 31, 2004, became fully exercisable on March 31, 2010.

5. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted August 8, 2008, vests and becomes exercisable in 20% increments on August 8, 2009, 2010, 2011, 2012 and 2013, respectively.

6. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 19, 2009, vests and becomes exercisable in 20% increments on May 19, 2010, 2011, 2012, 2013 and 2014, respectively.

7. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted September 1, 2009, will vest and become exercisable in 50,000 share increments when the average trading price of the Issuer's common stock equals or exceeds \$4.00, \$5.00, \$6.00, \$7.00 and \$8.00 per share.

8. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted May 18, 2010, vests and becomes exercisable in 20% increments on May 18, 2011, 2012, 2013, 2014 and 2015, respectively.

9. Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted June 12, 2012, vests and becomes exercisable in 20% increments on June 12, 2013, 2014, 2015, 2016 and 2017, respectively.

Remarks:

/s/ Steven R. Barth, Attorneyin-Fact for John H. Scribante <u>10/01/2012</u>

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

<sup>4.</sup> Grant to reporting person of option to buy shares under the 2004 Stock and Incentive Awards Plan. This option, granted June 2, 2006, became fully exercisable on March 31, 2011.